

On the distribution of r -tuples of squarefree numbers in short intervals

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Abstract

We consider the number of r -tuples of squarefree numbers in a short interval. We prove that it cannot be much bigger than the expected value and we also establish an asymptotic formula if the interval is not very short.

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1 Introduction and statement of the results.

For $x \geq 1$ and $h \geq 1$ we define

$$Q(x) = \sum_{n \leq x} \mu^2(n), \quad Q(x, h) = Q(x+h) - Q(x),$$

where $\mu(n)$ is the Möbius function. It is conjectured that for any $\varepsilon > 0$ there exists $x_0(\varepsilon)$ such that $Q(x, x^\varepsilon) > 0$ whenever $x \geq x_0(\varepsilon)$. A conditional proof of this hypothesis (under the *ABC*-conjecture) was found in 1998 by Granville [3]. An unconditional proof is not known at present, but many approximations were established during the last decades. The strongest of them is due to Filaseta and Trifonov [2] (an information about the earlier work on this problem is also available there). In 1992, using clever elementary arguments, they proved the following:

Theorem 1 (Filaseta, Trifonov). *There exists a constant $c > 0$ such that if x is sufficiently large and $h = cx^{1/5} \log x$, then $Q(x, h) > 0$.*

In [2] it is established, actually, that under the hypotheses of Theorem 1 one has $Q(x, h) \geq c_1 h$ for some $c_1 > 0$. As we shall see later, using a slight modification of the method of Filaseta and Trifonov, we can prove that $Q(x, h) \sim \frac{6}{\pi^2} h$ when $x \rightarrow \infty$ and $\frac{h}{x^{1/5} \log x} \rightarrow \infty$. It is not known whether $Q(x, h) > 0$ for smaller h , but in this case we establish that $Q(x, h)$ cannot be much bigger than $\frac{6}{\pi^2} h$.

In the present paper we find results of this type for a more general problem. Let $\mathbf{l} = \langle l_1, \dots, l_r \rangle$ be a vector with distinct, non-negative, integer components and define

$$Q_{\mathbf{l}}(x) = \sum_{n \leq x} \mu^2(n + l_1) \dots \mu^2(n + l_r), \quad Q_{\mathbf{l}}(x, h) = Q_{\mathbf{l}}(x + h) - Q_{\mathbf{l}}(x).$$

An asymptotic formula for $Q_{\mathbf{l}}(x)$ in the case $\mathbf{l} = \langle 0, 1 \rangle$ was established in an elementary way by Carlitz [1]. Hall [5] found an asymptotic formula in the general case and also proved some results concerning the behavior of $Q_{\mathbf{l}}(x, h)$ on average. Later Heath-Brown [6] considered again the particular case $\mathbf{l} = \langle 0, 1 \rangle$ and, using his square sieve, improved the estimate of the error term in the asymptotic formula for $Q_{\mathbf{l}}(x)$. Finally, Tsang [7] applied the Buchstab–Rosser sieve as well as Heath-Brown’s method and proved that if $r \leq \frac{1}{25}(\log x / \log \log x)$ and $l_1, \dots, l_r \leq cx$ for some constant $c > 0$, then

$$(1) \quad A(\mathbf{l})x + O\left(r^{12/5}x^{3/5}(\log x)^{-8/5}\right) \leq Q_{\mathbf{l}}(x) \leq A(\mathbf{l})x + O\left(r^2x^{7/11}(\log x)^7\right),$$

where the constants in the O -terms depend only on c ,

$$(2) \quad A(\mathbf{l}) = \prod_p \left(1 - \frac{u(p)}{p^2}\right)$$

(the product is taken over all primes p) and where $u(p)$ is the number of distinct residue classes modulo p^2 represented by the integers l_1, \dots, l_r .

Our main result is the following theorem, which states that the number of r -tuples of squarefree integers, lying even in a very short interval, cannot be much bigger than the expected value.

Theorem 2. *Let x, h be real numbers such that $10^3 \leq h \leq x$ and let r, l_1, \dots, l_r be integers satisfying*

$$(3) \quad 1 \leq r \leq \frac{\log h}{\log \log h}, \quad 0 \leq l_1 < \dots < l_r \leq x.$$

Then we have

$$(4) \quad Q_1(x, h) \leq A(\mathbf{1}) h \{1 + O(h^{-1/3 + \rho(h)})\}, \quad \rho(h) = 2 \frac{\log \log \log h}{\log \log h}.$$

where the constant in the O -term is absolute.

For the proof we apply Selberg's sieve. We note that the upper bound for $Q_1(x, h)$, given by (4), does not depend on x .

Our second result is a generalization of Theorem 1. We apply again sieve methods (Buchstab's identity and the sieve of Eratosthenes) as well as a version of the main proposition of [2] and prove the following:

Theorem 3. *Let x be sufficiently large and $\psi(x)$ be a monotonically increasing function, such that*

$$(5) \quad 2 \leq \psi(x) \leq e^{-10} (\log x)^{2/3}.$$

Suppose that the integers r, l_1, \dots, l_r satisfy

$$(6) \quad 1 \leq r, \quad e^{10\sqrt{r}} \leq (\log x)^{2/3} \psi(x)^{-1}, \quad 0 \leq l_1 < \dots < l_r \leq x$$

and let

$$(7) \quad h \geq e^{10\sqrt{r}} \psi(x) x^{1/5} \log x.$$

Then we have

$$(8) \quad Q_1(x, h) = A(\mathbf{1}) h \{1 + O(\psi(x)^{-1})\},$$

where the constant in the O -term is absolute.

We note that a weak version of Theorem 2 can be deduced from the proof of Theorem 3 (see (38), (43) and (44)). More precisely, if the conditions (5) and (6) hold and if $x^\varepsilon < h \leq x$, where $\varepsilon > 0$ is arbitrarily small, then

$$Q_1(x, h) \leq A(\mathbf{1}) h \{1 + O(\psi(h)^{-1})\}$$

(the constant in the O -term depends on ε). However the estimate of the remainder term in (4) is much sharper and also in Theorem 2 we do not impose a lower bound for h depending on x .

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2 Notations, lemmas and some simple estimates.

As usual, $\mu(n)$ is the Möbius function and $\omega(n)$ denotes the number of distinct prime factors of n . The letters p and q are reserved for prime numbers. By (k_1, k_2) and $[k_1, k_2]$ we denote the greatest common divisor and, respectively, the least common multiple of the integers k_1 and k_2 . In this way we also denote open and, respectively, closed intervals, but the meaning is always clear from the context. We write $\#\mathcal{M}$ for the cardinality of the finite set \mathcal{M} . If it is not specified explicitly, the constants in the O -terms and \ll -symbols are absolute.

For a positive integer k we define

$$\sigma(k) = \prod_{p^2|k} p.$$

If n is a positive integer and if $\mathbf{l} = \langle l_1, \dots, l_r \rangle$ is a vector with non-negative integer components we define

$$\xi(n) = \xi_{\mathbf{l}}(n) = \prod_{j=1}^r \sigma(n + l_j).$$

For any real $z \geq 2$ we denote

$$P(z) = \prod_{p < z} p.$$

We write $\mathcal{D}(z, k)$ for an abbreviation of the condition $(\sigma(k), P(z)) = 1$. If $z_1, z_2, \dots, z_r \geq 2$ then we introduce another condition $\mathcal{E}_{n, \mathbf{l}}(z_1, z_2, \dots, z_r)$, which means that n satisfies $\mathcal{D}(z_i, n + l_i)$ for all $i = 1, \dots, r$.

We shall see that under the conditions

$$(9) \quad 1 \leq h \leq x, \quad 0 \leq l_1, \dots, l_r \leq x$$

we have

$$(10) \quad Q_{\mathbf{l}}(x, h) = \#\{n \in (x, x + h] : \mathcal{E}_{n, \mathbf{l}}(2\sqrt{x}, 2\sqrt{x}, \dots, 2\sqrt{x})\}.$$

Indeed, using (9), we find that $n + l_i < 4x$ for any $n \in (x, x + h]$ and for $i = 1, \dots, r$. Therefore the integer $n + l_i$ is squarefree if and only if the condition $\mathcal{D}(2\sqrt{x}, n + l_i)$ holds. This implies the representation (10).

For any squarefree integer d we define

$$(11) \quad u(d) = \prod_{p|d} u(p)$$

and

$$(12) \quad N_d(x, h) = \#\{n \in (x, x + h] : \xi(n) \equiv 0 \pmod{d}\}.$$

As it is mentioned in [7], p. 269, the congruence $\xi(n) \equiv 0 \pmod{p}$ has $u(p)$ solutions modulo p^2 and, respectively, the congruence $\xi(n) \equiv 0 \pmod{d}$, where d is squarefree, has exactly $u(d)$ solutions modulo d^2 . Therefore we find

$$(13) \quad N_d(x, h) = h \frac{u(d)}{d^2} + O(u(d)).$$

Obviously, for any prime p we have

$$(14) \quad u(p) \leq r.$$

We can also assume that

$$(15) \quad u(p) \leq p^2 - 1$$

for all p because otherwise we would have $Q_1(x, h) = A(\mathbf{1}) = 0$ and our results would be trivial.

We shall use the following simple estimate:

$$(16) \quad 1 \leq A(\mathbf{1})^{-1} \leq e^{9\sqrt{r}}.$$

Indeed, the first inequality is obvious. To prove the second one we use (2) to write

$$(17) \quad A(\mathbf{1})^{-1} = \prod_{p \leq \sqrt{2r}} \left(1 - \frac{u(p)}{p^2}\right)^{-1} \prod_{p > \sqrt{2r}} \left(1 - \frac{u(p)}{p^2}\right)^{-1} = P_1 P_2,$$

say. From (15) and from the well-known upper bound in the Tchebyshev prime number theorem

$$\prod_{p \leq w} p \leq 4^w \quad \text{for} \quad w \geq 1$$

we find

$$(18) \quad P_1 \leq \prod_{p \leq \sqrt{2r}} \left(1 - \frac{p^2 - 1}{p^2}\right)^{-1} = \prod_{p \leq \sqrt{2r}} p^2 \leq 4^{2\sqrt{2r}}.$$

Respectively, from (14) we get

$$(19) \quad \log P_2 \leq - \sum_{p > \sqrt{2r}} \log(1 - rp^{-2}) \leq 2r \sum_{n > \sqrt{2r}} n^{-2} \leq 2\sqrt{2r}.$$

The right inequality in (16) follows from (17) – (19).

The core of the proof of Filaseta and Trifonov's theorem is the following:

Lemma 1 (Filaseta, Trifonov). *Suppose that x is sufficiently large and $h = cx^{1/5} \log x$, where $c > 1$ is a sufficiently large constant. Then there exists a constant $\gamma > 0$ such that*

$$\#\{d \in (h\sqrt{\log x}, 2\sqrt{x}] \cap \mathbb{Z} : md^2 \in (x, x+h] \text{ for some } m \in \mathbb{Z}\} \ll c^{-\gamma} h.$$

The proof of this result is presented in detail in [2]. To establish our Theorem 3 we shall use the following modification:

Lemma 2. *Suppose that x is sufficiently large and*

$$\begin{aligned} x \leq X \leq 2x, & & 1 \leq R \leq (\log x)^{2/3}, \\ h \geq Rx^{1/5} \log x, & & \lambda = R^{-1} h \log x \leq 2\sqrt{x}. \end{aligned}$$

Then we have

$$\#\{d \in [\lambda, 2\sqrt{x}] \cap \mathbb{Z} : md^2 \in (X, X+h] \text{ for some } m \in \mathbb{Z}\} \ll R^{-1} h.$$

The proof differs only very slightly from the proof of Lemma 1, so we omit it.

3 Proof of Theorem 2.

We may assume that $h \geq h_0$, where h_0 is a sufficiently large absolute constant. We use the representation of $Q_1(x, h)$ in the form (10) and, since the condition $\mathcal{E}_{n,1}(2\sqrt{x}, 2\sqrt{x}, \dots, 2\sqrt{x})$ is equivalent to $(\xi(n), P(2\sqrt{x})) = 1$, we can write

$$Q_1(x, h) = \sum_{x < n \leq x+h} \sum_{d | (\xi(n), P(2\sqrt{x}))} \mu(d).$$

Now we apply Selberg's upper bound sieve. Let $\lambda(d)$ be real numbers defined for squarefree integers d . We suppose that $\lambda(1) = 1$ and $\lambda(d) = 0$ for $d > z$, where z is a parameter for which we assume

$$(20) \quad 2 < z < 2\sqrt{x}.$$

We note that if d is squarefree and $d \leq z$ then $d \mid P(2\sqrt{x})$. Hence we find

$$(21) \quad \begin{aligned} Q_1(x, h) &\leq \sum_{x < n \leq x+h} \left(\sum_{d | \xi(n)} \lambda(d) \right)^2 = \sum_{x < n \leq x+h} \sum_{d_1, d_2 | \xi(n)} \lambda(d_1) \lambda(d_2) \\ &= \sum_{d_1, d_2 \leq z} \lambda(d_1) \lambda(d_2) N_{[d_1, d_2]}(x, h), \end{aligned}$$

where $N_d(x, h)$ is defined by (12).

We use (13) and (21) to get

$$(22) \quad Q_1(x, h) \leq hV + O(R),$$

where

$$(23) \quad V = \sum_{d_1, d_2 \leq z} \frac{\lambda(d_1) \lambda(d_2)}{[d_1, d_2]^2} u([d_1, d_2]), \quad R = \sum_{d_1, d_2 \leq z} |\lambda(d_1)| |\lambda(d_2)| u([d_1, d_2]).$$

We define $\lambda(d)$ for $1 < d \leq z$ in such a way as to minimize V . By a straightforward application of Selberg's method (see, for example, [4], Chapter 3) we can verify that the optimal choice is

$$(24) \quad \lambda(d) = \mu(d) \prod_{p|d} \left(1 - \frac{u(p)}{p^2} \right)^{-1} \frac{H(z/d, d)}{H(z)},$$

where

$$(25) \quad H(y, m) = \sum_{\substack{k \leq y \\ (k, m)=1}} \frac{\mu^2(k) u(k)}{k^2} \prod_{p|k} \left(1 - \frac{u(p)}{p^2}\right)^{-1}, \quad H(y) = H(y, 1).$$

In this case, one can obtain that the minimal value of V is

$$(26) \quad V_{\min} = H(z)^{-1}.$$

From (2), (24) and (25) we easily find

$$(27) \quad |\lambda(d)| \leq \mu^2(d) \prod_{p|d} \left(1 - \frac{u(p)}{p^2}\right)^{-1} \leq A(\mathbf{1})^{-1} \mu^2(d).$$

Having in mind (22), (23) and (26) we obtain

$$(28) \quad Q_1(x, h) \leq hH(z)^{-1} + O(G^2),$$

where

$$G = \sum_{d \leq z} |\lambda(d)| u(d).$$

From (11), (14) and (27) we find

$$(29) \quad G \leq A(\mathbf{1})^{-1} \sum_{d \leq z} r^{\omega(d)} \mu^2(d) = A(\mathbf{1})^{-1} U,$$

say. To estimate the sum U we assume that

$$(30) \quad \nu = 1 + \frac{r}{\log z} \leq 2$$

and, using Euler's identity and the elementary properties of Riemann's zeta-function, we easily get

$$(31) \quad U \leq \sum_{d=1}^{\infty} \left(\frac{z}{d}\right)^{\nu} \mu^2(d) r^{\omega(d)} = z^{\nu} \prod_p \left(1 + \frac{r}{p^{\nu}}\right) \leq z^{\nu} \left(\frac{\nu}{\nu-1}\right)^r \\ \leq z(2er^{-1} \log z)^r.$$

Applying Euler's identity we also find

$$\sum_{k=1}^{\infty} \frac{\mu^2(k) u(k)}{k^2} \prod_{p|k} \left(1 - \frac{u(p)}{p^2}\right)^{-1} = A(\mathbf{1})^{-1}.$$

Hence, if we define $\omega(z)$ by

$$(32) \quad H(z) = A(\mathbf{1})^{-1} - \omega(z),$$

then, using (2), (11) and (14) we get

$$(33) \quad \begin{aligned} 0 \leq \omega(z) &= \sum_{k>z} \frac{\mu^2(k) u(k)}{k^2} \prod_{p|k} \left(1 - \frac{u(p)}{p^2}\right)^{-1} \\ &\leq A(\mathbf{1})^{-1} \sum_{k>z} \frac{\mu^2(k) r^{\omega(k)}}{k^2} = A(\mathbf{1})^{-1} U_1, \end{aligned}$$

say. Arguing as in the proof of (31) we find

$$U_1 \ll z^{-1} (2e r^{-1} \log z)^r.$$

Using this last estimate, (32) and (33) we establish

$$(34) \quad H(z)^{-1} = A(\mathbf{1}) \{1 + O(z^{-1} (2e r^{-1} \log z)^r)\}.$$

From (28), (29), (31) and (34) we obtain

$$(35) \quad Q_1(x, h) \leq A(\mathbf{1}) h \{1 + O(\Delta)\},$$

where

$$\Delta = z^{-1} (2e r^{-1} \log z)^r + A(\mathbf{1})^{-3} h^{-1} z^2 (2e r^{-1} \log z)^{2r}.$$

We choose

$$z = h^{1/3} (r^{-1} \log h)^{-r/3}.$$

and note that the conditions (20) and (30) are satisfied. Using (3) and (16) we find

$$\Delta \ll h^{-1/3+\rho(h)},$$

where $\rho(h)$ is specified by (4).

From the last formula and (35) we obtain (4), thus completing the proof of Theorem 2.

4 Proof of Theorem 3.

We can assume that $h \leq x^{7/11}(\log x)^{10}$ because otherwise (8) is a consequence of (1), (6) and (16). Suppose that $\mathcal{A} \subset (x, x+h] \cap \mathbb{Z}$ and let

$$(36) \quad \lambda_0 = e^{10\sqrt{r}} \psi(x).$$

Using the Buchstab identity (see, for example, [4], Chapter 7, p. 204), we find for any $i = 1, \dots, r$ that

$$(37) \quad \begin{aligned} & \#\{n \in \mathcal{A} : \mathcal{D}(2\sqrt{x}, n + l_i)\} \\ &= \#\{n \in \mathcal{A} : \mathcal{D}(\lambda_0, n + l_i)\} \\ &\quad - \sum_{\lambda_0 \leq q < 2\sqrt{x}} \#\{n \in \mathcal{A} : q^2 \mid n + l_i, \mathcal{D}(q, n + l_i)\}. \end{aligned}$$

Having written $Q_1(x, h)$ in the form (10), we apply (37) with $i = 1$ and with the set \mathcal{A} consisting of the integers $n \in (x, x+h]$ satisfying the conditions $\mathcal{D}(2\sqrt{x}, n + l_j)$ for all $j = 2, \dots, r$. We get

$$\begin{aligned} Q_1(x, h) &= \#\{n \in (x, x+h] : \mathcal{E}_{n,1}(\lambda_0, 2\sqrt{x}, \dots, 2\sqrt{x})\} \\ &\quad - \sum_{\lambda_0 \leq q < 2\sqrt{x}} \#\{n \in (x, x+h] : q^2 \mid n + l_1, \mathcal{E}_{n,1}(q, 2\sqrt{x}, \dots, 2\sqrt{x})\}. \end{aligned}$$

We consider the first term from the right side of the last identity and apply (37) again, this time with $i = 2$ and with the set \mathcal{A} consisting of all integers $n \in (x, x+h]$, which satisfy $\mathcal{D}(\lambda_0, n + l_1)$ and $\mathcal{D}(2\sqrt{x}, n + l_j)$ for all $j = 3, \dots, r$. In this way we find another identity for $Q_1(x, h)$.

Proceeding in this manner we obtain

$$(38) \quad Q_1(x, h) = R_0 - \sum_{\nu=1}^r \sum_{\lambda_0 \leq q < 2\sqrt{x}} R_{\nu,q} = R_0 - \Sigma,$$

say, where

$$\begin{aligned} R_0 &= \#\{n \in (x, x+h] : \mathcal{E}_{n,1}(\lambda_0, \lambda_0, \dots, \lambda_0)\}, \\ R_{\nu,q} &= \#\{n \in (x, x+h] : q^2 \mid n + l_\nu, \mathcal{E}_{n,1}(\underbrace{\lambda_0, \dots, \lambda_0}_{\nu-1}, q, \underbrace{2\sqrt{x}, \dots, 2\sqrt{x}}_{r-\nu})\}. \end{aligned}$$

First consider R_0 . It is clear that the condition $\mathcal{E}_{n,1}(\lambda_0, \lambda_0, \dots, \lambda_0)$ is equivalent to $(\xi(n), P(\lambda_0)) = 1$. Therefore

$$(39) \quad R_0 = \sum_{x < n \leq x+h} \sum_{d | (\xi(n), P(\lambda_0))} \mu(d) = \sum_{d | P(\lambda_0)} \mu(d) N_d(x, h),$$

where $N_d(x, h)$ is defined by (12). Using (13) and (39) we get

$$(40) \quad R_0 = hW + O(H),$$

where

$$W = \sum_{d | P(\lambda_0)} \mu(d) \frac{u(d)}{d^2} = \prod_{p < \lambda_0} \left(1 - \frac{u(p)}{p^2}\right), \quad H = \sum_{d | P(\lambda_0)} u(d).$$

Consider W and H . Arguing as in the proof of (16) and using (2), (14) and (36) we find

$$(41) \quad W = \prod_p \left(1 - \frac{u(p)}{p^2}\right) \prod_{p \geq \lambda_0} \left(1 - \frac{u(p)}{p^2}\right)^{-1} = A(\mathbf{1}) \left(1 + O\left(\frac{r}{\lambda_0}\right)\right).$$

Respectively, we have

$$(42) \quad H \leq \sum_{d | P(\lambda_0)} r^{\omega(d)} = \prod_{p < \lambda_0} (1 + r) < (1 + r)^{\lambda_0}.$$

From (40) – (42) we get

$$R_0 = A(\mathbf{1}) h (1 + O(\Delta)),$$

where

$$\Delta = r \lambda_0^{-1} + h^{-1} A(\mathbf{1})^{-1} (1 + r)^{\lambda_0}.$$

It is not difficult to verify, using (6), (7), (16) and (36), that $\Delta \ll \psi(x)^{-1}$ and we find

$$(43) \quad R_0 = A(\mathbf{1}) h (1 + O(\psi(x)^{-1})).$$

Consider now the sum Σ , specified by (38). We have

$$(44) \quad 0 \leq \Sigma \leq r \max_{1 \leq \nu \leq r} S_\nu,$$

where

$$S_\nu = \sum_{\lambda_0 \leq q < 2\sqrt{x}} \#\{n \in (x, x+h] : q^2 \mid n + l_\nu\}.$$

We shall prove that

$$(45) \quad S_\nu \ll e^{-10\sqrt{r}} \psi(x)^{-1} h.$$

Define

$$(46) \quad \lambda = e^{-10\sqrt{r}} \psi(x)^{-1} h \log x.$$

First we assume that $\lambda < 2\sqrt{x}$. In this case we divide S_ν into two parts

$$(47) \quad S_\nu = S' + S''.$$

In S' the summation is taken over the primes $q \in [\lambda_0, \lambda)$ and S'' is the contribution from the primes $q \in [\lambda, 2\sqrt{x})$.

Using Tchebyshev's prime number theorem and (6), (7), (36) and (46) we get

$$(48) \quad S' = \sum_{\lambda_0 \leq q < \lambda} \sum_{\substack{x < n \leq x+h \\ n+l_\nu \equiv 0 \pmod{q^2}}} 1 \leq \sum_{\lambda_0 \leq q < \lambda} \left(\frac{h}{q^2} + 1 \right) \ll \frac{h}{\lambda_0} + \frac{\lambda}{\log \lambda} \\ \ll e^{-10\sqrt{r}} \psi(x)^{-1} h.$$

To estimate S'' we write it in the form

$$S'' = \sum_{\lambda \leq q < 2\sqrt{x}} \#\{m \in (x + l_\nu, x + l_\nu + h] : q^2 \mid m\}$$

and apply Lemma 2 with $X = x + l_\nu$ and $R = e^{10\sqrt{r}} \psi(x)$. We find

$$(49) \quad S'' \ll e^{-10\sqrt{r}} \psi(x)^{-1} h.$$

The estimate (45) follows from (47) – (49).

In the case $\lambda \geq 2\sqrt{x}$ we proceed as in the estimation of S' and easily find that (45) holds as well.

From (16), (38), (43) – (45) and (47) we obtain (8) and Theorem 3 is proved.

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